



# Educational and Vocational Services

REQUEST FOR PROPOSAL 16-080

on behalf of

Indiana Department of Correction

June 14, 2016

10:00 a.m. ET

Jennifer Mayfield

Senior Account Manager

***Indiana Department of Administration***



# General Information

- Sign-In Sheet for Attendees
- Sign-In Sheet and PowerPoint will be posted on IDOA's Solicitation Website
- Hold questions until the end of the presentation
- Questions regarding RFP scope of work, process, and scoring will be answered in this session
  - *Any verbal response is not considered binding; respondents are encouraged to submit any question formally in writing if it affects the proposal that will be submitted to the state.*



# Purpose of the RFP

- The purpose of this RFP is to select a vendor that can satisfy the State's need for Educational and Vocational Services. It is the intent of IDOC to contract with a vendor that provides quality educational and vocational services for adult correctional facilities statewide and one juvenile correctional facility.



# Term of Contract

## Contract Term

- The State intends to sign a contract with one or more Respondent(s) to fulfill the requirements in this RFP.
- The initial term of the contract shall be for a period of two years (2) years from the date of contract execution.



# Key Dates

| Activity  | Date                           |
|---|--------------------------------|
| Issue of RFP  | June 7, 2016                   |
| Pre-Proposal Conference   | June 14, 2016 at 10:00 a.m. ET |
| Facility Visit – Pendleton Locations  | June 21, 2016                  |
| Facility Visit – Madison Location   | June 24, 2016                  |
| Deadline to Submit Written Questions  | June 30, 2016 at 3:00 p.m. ET  |
| Response to Written Questions/RFP Amendments  | July 8, 2016                   |
| Submission of Proposals   | July 22, 2016 at 3:00 p.m. ET  |
| <b><i>The dates for the following activities are target dates only. These activities may be completed earlier or later than the date shown.</i></b> |                                |
| Proposal Evaluation   | TBD                            |
| Proposal Discussions/Clarifications (if necessary)  | TBD                            |
| Oral Presentations (if necessary)   | TBD                            |
| Best and Final Offers (if necessary)  | TBD                            |
| Contract Award  | TBD                            |

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# Business Proposal

(Attachment E)

- **Company Financial Information (Section 2.3.3)**
  - Confidential information must be kept separate from the proposal in the electronic copies
- **Contract Terms (Section 2.3.5)**
  - Respondent should review sample State contract and note exceptions to State mandatory and non-mandatory clauses in Business Proposal and Transmittal Letter

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# Technical Proposal

(Attachment F)

- Two sections comprise the Technical Proposal:
  - Mandatory Requirements
  - General Questions
- Please use the Template we have provided for you.
- Where appropriate, supporting documentation may be referenced by a page and paragraph number.

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# Cost Proposal

(Attachment D)

- Respondents must provide cost for both the Adult and Juvenile programs. Proposals that do not include both programs will be considered non-responsive and will not be considered.
- A detailed cost breakdown is required for the Cost Proposal.

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# Proposal Preparation

- Buy Indiana, Business Proposal (2.3.13)
  - Status shall be finalized by proposal due date
  - 5 definitions, details provided in Business Proposal
- Indiana Economic Impact, Attachment C
  - Definition of FTE (Full-Time Equivalent)



# Proposal Preparation

- Attachment D (Cost Proposal) must be returned in the original **Excel** format. (No PDFs)
- Use the templates provided for all responses
- Do not alter any templates
- Submit all questions via email using the Q&A Template (Attachment G) by **Thursday June 30, 2016 at 3:00 p.m. ET** to **[jmayfield@idoa.in.gov](mailto:jmayfield@idoa.in.gov)**



# Evaluation Criteria

| Criteria   | Points  |
|--|---|
| 1. Adherence to Mandatory Requirements                                 | Pass/Fail   |
| 2. Management Assessment/Quality (Business and Technical Proposal)     | 40 points   |
| 3. Cost (Cost Proposal)  | 35 points   |
| 4. Indiana Economic Impact   | 5 points  |
| 5. Buy Indiana   | 5 points  |
| 6. Minority Business Enterprise Subcontractor Commitment               | 5 points<br>(1 bonus point is available, see Section 3.2.6) |
| 7. Women Business Enterprise Subcontractor Commitment                  | 5 points<br>(1 bonus point is available, see Section 3.2.6) |
| 8. Indiana Veteran Business Enterprise (IVBE) Subcontractor Commitment | 5 points<br>(1 bonus point is available, see Section 3.2.7) |
| <b>Total</b>   | <b>100 (103 if bonus awarded)</b>                           |



# Minority and Women's Business Enterprises

- Complete Attachment A, MWBE Form
  - Submit separate MWBE form included in proposal
  - Include sub-contractor letters of commitment
- Goals for Proposal
  - 8% Minority Business Enterprise
  - 8% Women's Business Enterprise



**ATTACHMENT A**  
**MINORITY & WOMEN'S BUSINESS ENTERPRISES RFP SUBCONTRACTOR**  
**COMMITMENT FORM**

In accordance with 25 IAC 5-5, the respondent is expected to submit with its proposal a MWBE Subcontractor Commitment Form. The Form must show that there are, participating in the proposed contract, Minority Business Enterprises (MBE) and Women Business Enterprises (WBE) listed in the Minority and Women's Business Enterprises Division (MWBED) directory of certified firms located at <http://www.in.gov/idoa/2352.htm>. If participation is met through use of vendors who supply products and/or services directly to the Respondent, the Respondent must provide a description of products and/or services provided that are directly related to this proposal and the cost of direct supplies for this proposal. Respondents must complete the Subcontractor Commitment Form in its entirety.

Failure to meet these goals will affect the evaluation of your Proposal. The Department reserves the right to verify all information included on the MWBE Subcontractor Commitment Form.

Respondents are encouraged to contact and work with MWBED at 317-232-3061 to design a subcontractor commitment to meet established goals as referenced in this solicitation.

**Prime Contractors must ensure that the proposed subcontractors meet the following criteria:**

- Must be listed on the IDOA Directory of Certified Firms, on or before the proposal due date
- Each firm may only serve as one classification – MBE, WBE or IVBE (see Section 1.22)
- A Prime Contractor who is an MBE or WBE must meet subcontractor goals by using other listed certified firms. Certified Prime Contractors cannot count their own workforce or companies to meet this requirement.
- Must serve a commercially useful function. The firm must serve a value-added purpose on the engagement.
- Must provide goods or service only in the industry area for which it is certified as listed in the directory at <http://www.in.gov/idoa/2352.htm>
- Must be used to provide the goods or services specific to the contract
- National Corporate Diversity Plans are generally not acceptable

**MINORITY & WOMEN'S BUSINESS ENTERPRISES RFP SUBCONTRACTOR LETTER OF**  
**COMMITMENT**

A signed letter(s), on company letterhead, from the MBE and/or WBE must accompany the MWBE Subcontractor Commitment Form. Each letter shall state and will serve as acknowledgement from the MBE and/or WBE of its subcontract amount, a description of products and/or services to be provided on this project, and approximate date the subcontractor will perform work on this contract. The State reserves the right to deny evaluation points if the letter(s) is not attached. The State may deny evaluation points if the letter(s) is not attached, not on company letterhead, not signed and/or does not reference and match the subcontract amount and the anticipated period that the Subcontractor will perform work for this solicitation.

By submission of the Proposal, the Respondent acknowledges and agrees to be bound by the regulatory processes involving the State's M/WBE Program. Questions involving the regulations governing the MWBE Subcontractor Commitment Form should be directed to: Minority and Women's Business Enterprises Division at (317) 232-3061 or <http://www.in.gov/idoa/2352.htm>.



# Minority and Women's Business Enterprises

**Prime Contractors must ensure that the proposed subcontractors meet the following criteria:**

- Must be listed on the IDOA Directory of Certified Firms, on or before the proposal due date
- Each firm may only serve as one classification – MBE, WBE or IVBE
- A Prime Contractor who is an MBE or WBE must meet subcontractor goals by using other listed certified firms. Certified Prime Contractors cannot count their own workforce or companies to meet this requirement.
- Must serve a **commercially useful function**. The firm must serve a value-added purpose on the engagement.
- Must provide goods or service only in the industry area for which it is certified as listed in the directory at <http://www.in.gov/idoa/2352.htm>
- Must be used to provide the goods or services specific to the contract
- National Corporate Diversity Plans are generally not acceptable

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# STATE OF INDIANA MBE/WBE SUBCONTRACTOR COMMITMENT FORM

RFP#:

DUE DATE:

TOTAL BID AMOUNT:

|   |                                   |  |                    |
|---|-----------------------------------|--|--------------------|
| <input type="checkbox"/> MBE Firm   | <input type="checkbox"/> WBE Firm |  |                    |
| Company Name:   |                                   | Contact Person:                          |                    |
| Address:  |                                   | E-mail:                                  |                    |
| Sub-Contract Amount:  |                                   | Telephone Number:<br>( )                 | Fax Number:<br>( ) |
| Sub-Contract Percentage of Total Bid:                                       |                                   | Describe service/product to be provided: |                    |
| Provide approximate dates when Sub-Contractor will perform on this project: |                                   |  |                    |

|   |                                   |  |                    |
|---|-----------------------------------|--|--------------------|
| <input type="checkbox"/> MBE Firm   | <input type="checkbox"/> WBE Firm |  |                    |
| Company Name:   |                                   | Contact Person:                          |                    |
| Address:  |                                   | E-mail:                                  |                    |
| Sub-Contract Amount:  |                                   | Telephone Number:<br>( )                 | Fax Number:<br>( ) |
| Sub-Contract Percentage of Total Bid:                                       |                                   | Describe service/product to be provided: |                    |
| Provide approximate dates when Sub-Contractor will perform on this project: |                                   |  |                    |

|                     |                        |
|---------------------|------------------------|
| Respondent Firm     | Telephone Number       |
| Address             | Fax Number             |
| City/State/Zip Code | Email Address          |
| Representative      | Authorizing Signature  |
| Date                | Printed Name and Title |

☐ Please check if additional forms are attached.  
Page \_\_\_\_\_ of \_\_\_\_\_

FORM MUST BE COMPLETED IN ITS ENTIRETY WITH COMPLETED LETTERS OF COMMITMENT.

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# Minority and Women's Business Enterprises

RFP#

DUE DATE:

TOTAL BID AMOUNT:

|   |                                   |  |                    |
|---|-----------------------------------|--|--------------------|
| <input type="checkbox"/> MBE Firm   | <input type="checkbox"/> WBE Firm |  |                    |
| Company Name:   |                                   | Contact Person:                          |                    |
| Address:  |                                   | E-mail:                                  |                    |
|   |                                   | Telephone Number:<br>( )                 | Fax Number:<br>( ) |
| Sub-Contract Amount:  |                                   | Describe service/product to be provided: |                    |
| Sub-Contract Percentage of Total Bid:                                       |                                   |  |                    |
|   |                                   |  |                    |
| Provide approximate dates when Sub-Contractor will perform on this project: |                                   |  |                    |

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# Minority and Women's Business Enterprises

- **Effective August 2014, the following MWBE scoring methodology is utilized for all RFP releases**
- **New Process** – MWBE scoring is conducted based on 10 points plus a possible 2 bonus points scale
  - MBE: Possible 5 points + 1 bonus point
  - WBE: Possible 5 points + 1 bonus Point
- **Professional Services Scoring Methodology:**

- The points will be awarded on the following schedule:

| %    | 1%   | 2%   | 3%    | 4%  | 5%    | 6%   | 7%    | 8%  |
|------|------|------|-------|-----|-------|------|-------|-----|
| Pts. | .625 | 1.25 | 1.875 | 2.5 | 3.125 | 3.75 | 4.375 | 5.0 |

- Fractional percentages will be rounded up or down to the nearest whole percentage
- If the respondent's commitment percentage is rounded down to 0% for MBE or WBE participation the respondent will receive 0 points.
- Submissions of 0% participation will result in a deduction of 1 point in each category
- The highest submission which exceeds the goal in each category will receive 6 points (5 points plus 1 bonus point). In case of a tie both firms will receive 6 points



# Minority and Women's Business Enterprises

## RFP MWBE Scoring Example

| Bidder   | MBE % | Pts. | WBE % | Pts. | Total Pts. |
|----------|-------|------|-------|------|------------|
| Bidder 1 | 12.0% | 5.0  | 10.0% | 6.0  | 11.0       |
| Bidder 2 | 6.0%  | 3.75 | 4.0%  | 2.5  | 6.25       |
| Bidder 3 | 8.0%  | 5.0  | 8.0%  | 5.0  | 10.0       |
| Bidder 4 | 16.0% | 6.0  | 0.2%  | 0.0  | 6.0        |
| Bidder 5 | 0.0%  | -1.0 | 0.0%  | -1.0 | -2.0       |

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# Indiana Veteran Business Enterprise

- Complete Attachment A1, IVBE Form
  - Submit separate IVBE form included in proposal
  - Include sub-contractor letters of commitment
- Goals for Proposal
  - 3% Veteran Business Enterprise



**ATTACHMENT A1  
INDIANA VETERANS' BUSINESS ENTERPRISE RFP SUBCONTRACTOR  
COMMITMENT FORM**

In accordance with Section 1.22 of RFP 15-00, the respondent is expected to submit with its proposal An IVBE Subcontractor Commitment Form. The Form must show that there are, participating in the proposed contract, Indiana Veterans' Business Enterprises (IVBE) listed in the VetBiz Registry, <http://www.vetbiz.gov/>, that conform to the IVBE rules as laid out at <http://www.in.gov/idoa/2862.htm>.

If participation is met through use of vendors who supply products and/or services directly to the Respondent, the Respondent must provide a description of products and/or services provided that are directly related to this proposal and the cost of direct supplies for this proposal. Respondents must complete the Subcontractor Commitment Form in its entirety.

Failure to address these goals may affect the evaluation of your Proposal. The Department reserves the right to verify all information included on the IVBE Subcontractor Commitment Form.

**Prime Contractors must ensure that the proposed subcontractors meet the following criteria:**

- Must be listed on Federal Center for Veterans Business Enterprise VetBiz registry, on or before the proposal due date
- Must qualify as a Buy Indiana Business under designation 1, on or before the proposal due date. See section 2.7 for more information
- Each firm may only serve as one classification – MBE, WBE (see Section 1.21) or IVBE
- A Prime Contractor who is an IVBE must meet subcontractor goals by using other listed certified firms. Certified Prime Contractors cannot count their own workforce or companies to meet this requirement.
- Must serve a commercially useful function. The firm must serve a value-added purpose on the engagement.
- Must provide goods or service only in the industry area for which it is certified as listed in the VetBiz directory <http://www.in.gov/idoa/2352.htm>
- Must be used to provide the goods or services specific to the contract

**INDIANA VETERANS' BUSINESS ENTERPRISES RFP SUBCONTRACTOR LETTER OF  
COMMITMENT**

A signed letter(s), on company letterhead, from the IVBE must accompany the IVBE Subcontractor Commitment Form. Each letter shall state and will serve as acknowledgement from the IVBE of its subcontract amount, a description of products and/or services to be provided on this project, and approximate date the subcontractor will perform work on this contract. The State reserves the right to deny evaluation points if the letter(s) is not attached. The State may deny evaluation points if the letter(s) is not attached, not on company letterhead, not signed and/or does not reference and match the subcontract amount, subcontract amount as a percentage of the "TOTAL BID AMOUNT" and the anticipated period that the Subcontractor will perform work for this solicitation.

By submission of the Proposal, the Respondent acknowledges and agrees to be bound by the regulatory processes involving the State's IVBE Program. Questions involving the regulations governing the IVBE Subcontractor Commitment Form should be directed to: [indianaveteranspreference@idoa.in.gov](mailto:indianaveteranspreference@idoa.in.gov)



# Indiana Veteran Business Enterprise

**Prime Contractors must ensure that the proposed subcontractors meet the following criteria:**

- Must be listed on Federal Center for Veterans Business Enterprise VetBiz registry, on or before the proposal due date
- Must qualify as a Buy Indiana Business under designation 1, on or before the proposal due date. See section 2.7 for more information
- Each firm may only serve as one classification – MBE, WBE (see Section 1.21) or IVBE
- A Prime Contractor who is an IVBE must meet subcontractor goals by using other listed certified firms. Certified Prime Contractors cannot count their own workforce or companies to meet this requirement.
- Must serve a commercially useful function. The firm must serve a value-added purpose on the engagement.
- Must provide goods or service only in the industry area for which it is certified as listed in the VetBiz directory <http://www.in.gov/idoa/2352.htm>
- Must be used to provide the goods or services specific to the contract

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# STATE OF INDIANA IVBE SUBCONTRACTOR COMMITMENT FORM

RFP#:

DUE DATE:

TOTAL BID AMOUNT:

|   |  |                    |
|---|--|--------------------|
| Company Name:   | Contact Person:                          |                    |
| Address:  | E-mail:                                  |                    |
|   | Telephone Number:<br>( )                 | Fax Number:<br>( ) |
| Sub-Contract Amount:  | Describe service/product to be provided: |                    |
| Sub-Contract Percentage of Total Bid:                                       |  |                    |
| Provide approximate dates when Sub-Contractor will perform on this project: |  |                    |

|   |  |                    |
|---|--|--------------------|
| Company Name:   | Contact Person:                          |                    |
| Address:  | E-mail:                                  |                    |
|   | Telephone Number:<br>( )                 | Fax Number:<br>( ) |
| Sub-Contract Amount:  | Describe service/product to be provided: |                    |
| Sub-Contract Percentage of Total Bid:                                       |  |                    |
| Provide approximate dates when Sub-Contractor will perform on this project: |  |                    |

Respondent Firm

Telephone Number

Address

Fax Number

City/State/Zip Code

Email Address

Representative

Authorizing Signature

Date

Printed Name and Title

☐ Please check if additional forms are attached.

Page \_\_\_\_\_ of \_\_\_\_\_

FORM MUST BE COMPLETED IN ITS ENTIRETY WITH COMPLETED LETTERS OF COMMITMENT.

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# Indiana Veteran Business Enterprise

**TOTAL BID AMOUNT:**

**Company Name:**

**Address:**

**Sub-Contract Amount:**

**Sub-Contract Percentage of Total Bid:**

**Contact Person:**

**E-mail:**

**Telephone Number:**

( )

**Fax Number:**

( )

**Describe service/product to be provided:**

**Provide approximate dates when Sub-Contractor will perform on this project:**

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# Indiana Veteran Business Enterprise

**Evaluation Process** – IVBE scoring is conducted based on 5 points plus a possible 1 bonus point scale

- IVBE: Possible 5 points + 1 bonus point

- **Professional Services Scoring Methodology:**

- The points will be awarded on the following schedule:

| %    | 0% | 0.6% | 1.2% | 1.8% | 2.4% | 3% |
|------|----|------|------|------|------|----|
| Pts. | -1 | 1    | 2    | 3    | 4    | 5  |

- Fractional points will be awarded based upon a graduated scale between whole points. (e.g. a 0.3% commitment will receive .5 points and a 1.5% commitment will receive 2.5 points)
- Submissions of 0% participation will result in a deduction of 1 point in each category
- The highest submission which exceeds the goal in each category will receive 5 points (5 points plus 1 bonus point). In case of a tie both firms will receive 6 points.

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# Additional Information

## IDOA PROCUREMENT LINKS AND NUMBERS

<http://www.in.gov/idoa/2354.htm>

1-877-77BUYIN (8946) For Vendor Registration Questions

<http://www.in.gov/idoa/2464.htm>

For Inquiries Regarding Substantial Indiana Economic Impact

- A. <http://www.in.gov/idoa/2467.htm>  
Link to the developing “one stop shop” for vendor registry with IDOA and Secretary of State.
- B. Secretary of State of Indiana:  
Can be reached at (317) 232-6576 for registration assistance. [www.in.gov/sos](http://www.in.gov/sos)
- C. See Vendor Handbook:  
Online version available at [http://www.in.gov/idoa/files/vendor\\_handbook.doc](http://www.in.gov/idoa/files/vendor_handbook.doc)
- D. Minority and Women Owned Business Enterprises:  
[http://www.in.gov/idoa/files/Certification\\_List\(48\).xls](http://www.in.gov/idoa/files/Certification_List(48).xls) for table of IDOA certified MBEs and WBEs. For more WBE’s information <http://www.in.gov/idoa/2352.htm>
- E. Veteran’s Business Enterprise Program:  
<https://www.vip.vetbiz.gov/> for a search of certified IVBE’s. For more IVBE’s information <http://www.in.gov/idoa/2862.htm>
- F. RFP posting and updates:  
Go to <http://www.in.gov/idoa/2354.htm> (select “State of Indiana Opportunities” link)  
Drag through table until you find desired RFP/RFI number on left-hand side and click the link.

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# Any Questions?

Any verbal response is not considered binding; respondents are encouraged to submit any question formally in writing if it affects the proposal that will be submitted to the state.

***Indiana Department of Administration***



# Thank You!

Jennifer Mayfield

[jmayfield@idoa.in.gov](mailto:jmayfield@idoa.in.gov)

Phone: 317-232-3075

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